# REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.

1. REPORT DATE (DD-MM-YYYY)	2. REPORT TYPE	3. DATES COVERED (From - To)
22-08-2007	Technical Paper	
4. TITLE AND SUBTITLE		5a. CONTRACT NUMBER
Analytical Extraction of Plasma Pro	5b. GRANT NUMBER	
	5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)		5d. PROJECT NUMBER
Daniel L. Brown, C. William Larson a	and James M. Haas (AFRL/PRSS); and Alec D.	
Gallimore (Univ. of Mich)		5e. TASK NUMBER
,		503304AY
		5f. WORK UNIT NUMBER
7. PERFORMING ORGANIZATION NAME	(S) AND ADDRESS(ES)	8. PERFORMING ORGANIZATION REPORT NUMBER
Air Force Research Laboratory (AFM	C)	
AFRL/PRSS		AFRL-PR-ED-TP-2007-394
1 Ara Road		
Edwards AFB CA 93524-7013		
9. SPONSORING / MONITORING AGENC	Y NAME(S) AND ADDRESS(ES)	10. SPONSOR/MONITOR'S ACRONYM(S)
Air Force Research Laboratory (AFM	C)	
AFRL/PRS	11. SPONSOR/MONITOR'S	
5 Pollux Drive		NUMBER(S)
Edwards AFB CA 93524-7048	AFRL-PR-ED-TP-2007-394	
40 DICTRIBUTION / AVAIL ABILITY OF AT		•

#### 12. DISTRIBUTION / AVAILABILITY STATEMENT

Approved for public release; distribution unlimited (PA #07328A).

#### 13. SUPPLEMENTARY NOTES

For presentation at the 30<sup>th</sup> International Electric Propulsion Conference (2007 IEPC), Florence Italy, 17-20 Sep 2007. IEPC-2007-188.

## 14. ABSTRACT

An investigation of the conversion from anode electrical energy to jet kinetic energy in a laboratory model Hall thruster test-bed yielded an experimentally determined thrust efficiency based on the product of propellant, voltage, and current utilization. This method accounts for the effects of the ion velocity distribution function (VDF), electron recycle fraction, plume divergence, and the fractional loss of acceleration voltage within the discharge chamber. The architecture of the efficiency analysis is such that energy efficiency becomes naturally expressed as a product of voltage and current utilization efficiencies, and is rigorously separated from propellant utilization efficiency. A systematic investigation of the performance and far-field plume measurements provided insight into the loss mechanisms and plasma properties from 100 to 400 V discharge. Experimental parameter groups are calculated based on the measured thrust and telemetry data. When interpreted with measured ion beam current and most probable ion voltage, the efficiency analysis enables several plasma parameters to be deciphered, including: average ion charge, ionization mass fraction, and momentum beam divergence half-angle. The following study creates an analytical map for extracting plasma parameters based on performance and plume measurements.

### 15. SUBJECT TERMS

16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON Dr.James M. Haas
a. REPORT	b. ABSTRACT	c. THIS PAGE	SAR	1.5	19b. TELEPHONE NUMBER (include area code)
Unclassified	Unclassified	Unclassified	SAK	15	N/A

# **Analytical Extraction of Plasma Properties Using a Hall Thruster Efficiency Architecture (Preprint)**

## IEPC-2007-188

Presented at the 30<sup>th</sup> International Electric Propulsion Conference, Florence, Italy September 17-20, 2007

Daniel L. Brown <sup>ж</sup>, C. William Larson <sup>Σ</sup>, James M. Haas <sup>\$</sup> Air Force Research Laboratory, Edwards AFB, CA, 93524, USA

and

Alec D. Gallimore <sup>a</sup> *Plasmadynamics and Electric Propulsion Laboratory* University of Michigan, Ann Arbor, MI, 48109, USA

**Abstract:** An investigation of the conversion from anode electrical energy to jet kinetic energy in a laboratory model Hall thruster test-bed yielded an experimentally determined thrust efficiency based on the product of propellant, voltage, and current utilization. This method accounts for the effects of the ion velocity distribution function (VDF), electron recycle fraction, plume divergence, and the fractional loss of acceleration voltage within the discharge chamber. The architecture of the efficiency analysis is such that energy efficiency becomes naturally expressed as a product of voltage and current utilization efficiencies, and is rigorously separated from propellant utilization efficiency. A systematic investigation of the performance and far-field plume measurements provided insight into the loss mechanisms and plasma properties from 100 to 400 V discharge. Experimental parameter groups are calculated based on the measured thrust and telemetry data. When interpreted with measured ion beam current and most probable ion voltage, the efficiency analysis enables several plasma parameters to be deciphered, including: average ion charge, ionization mass fraction, and momentum beam divergence half-angle. The following study creates an analytical map for extracting plasma parameters based on performance and plume measurements.

**DISTRIBUTION STATEMENT A.** Approved for public release; distribution is unlimited.

<sup>\*\*</sup> Research Scientist, ERC Inc.; Ph.D. candidate, University of Michigan: Daniel.Brown@edwards.af.mil

<sup>&</sup>lt;sup>2</sup> Research Scientist, Spacecraft Propulsion Branch: Carl.Larson@edwards.af.mil

<sup>§</sup> Group Lead, Spacecraft Propulsion Branch: James. Haas@edwards.af.mil

<sup>&</sup>lt;sup>α</sup> Professor and Laboratory Director, Department of Aerospace Engineering; Associate Dean, Horace H. Rackham School of Graduate Studies: Alec.Gallimore@umich.edu

## Nomenclature

```
= 'quality' indicator of ionization in the \Phi_{VDF} contribution of the \Phi-Factor, a \approx 0.99
a
E_1
                  = first experimental parameter group = \frac{1}{2}(T/\dot{m})^2/(V_a \mathcal{F}/\mathcal{M}) = \Phi(1-\beta)\chi.
                  = second experimental parameter group = (\dot{m}/I_a)(\mathcal{F}/\mathcal{M}) = (1-r)/\chi.
E_2
Ŧ
                  = Faraday constant, 96485 coulombs/mol of charge.
                  = ion mass fraction at exit= f_1+f_2+f_3.
                  = reduced ion mass fractions at exit, f_1^* + f_{2+}^* f_3^* = 1.
                  = Earth's gravitational constant at sea level, 9.806 m/s<sup>2</sup>.
I_a
                  = measured anode current.
                  = specific impulse, independent of unit system, I_{sp} = \langle \mathbf{v} \rangle / g_0.
I_{sp}
                  = measured propellant anode mass flow rate.
                  = measured propellant cathode mass flow rate.
\dot{m}_c
M
                  = molecular weight of propellant, xenon = 0.1313 kg/mol.
P_{\text{in}}
                  = input power to anode.
P_{\text{jet}} \\
                  = power of jet = \frac{1}{2} \dot{m} < v^2 >
P_{\text{magnets}}
                  = input power electromagnets.
                  = average charge of xenon ions, f_1^* + 2f_2^* + 3f_3^*.
Q
                  = unit of charge, 1.609 \times 10^{-19} \text{ C}.
q
                  = fractional loss of current, electron recycle fraction.
                  = current utilization efficiency.
(1-r)
                  = measured thrust vector.
                  = measured anode voltage.
                  = mass weighted average exit velocity, specific impulse.
                  = mass weighted average squared exit velocity.
                  = mass weighted average squared ion exit velocity.
                  = plume momentum divergence half-angle.
α
                  = fractional loss of acceleration voltage.
(1-\beta)
                  = voltage utilization efficiency.
                  = average acceleration voltage = (f_1^* \delta V_1 + 2f_2^* \delta V_1 + 3f_3^* \delta V_1) / Q.
ΔV
\delta V_1, \delta V_2, \delta V_3 = acceleration voltages of Xe^{+1}, Xe^{+2}, Xe^{+3}.
                  = energy efficiency = P_{iet}/P_{in}.
\eta_{\text{energy}}
                  = experimental thrust efficiency.
\eta_{thrust}
                  = total thruster efficiency = \eta_{thrust} [\dot{m} / (\dot{m} + \dot{m}_c)] [P_{in} / (P_{in} + P_{magnets})]
\eta_{total}
                  = \Phi-Factor = \Phi_{VDF} \Phi_{DIV} = \langle \mathbf{v} \rangle^2 / \langle \mathbf{v}^2 \rangle, propellant utilization efficiency.
                  = velocity distribution component of the \Phi-Factor, = a f_i
\Phi_{VDF}
                  = \chi-Factor = f_iQ, average charge per particle (ions and neutrals), beam current per mol of propellant.
```

#### I. Introduction

χ

ALL thrusters utilize orthogonal electric and magnetic fields to electrostatically accelerate ions through a discharge channel, while electrons travel in an azimuthal trajectory around the channel annulus with a small axial velocity component leading to the anode. Complex coupling of plasma properties and perturbation effects make physical parameters such as beam divergence, ionization fraction, and recycled electron current difficult to measure directly. However, these values can be extracted using macroscopic performance and far-field plume measurements. An investigation of thruster operation at constant anode flow (20 mg/s) and constant power (6 kW) is examined to demonstrate the utility of thruster utilization efficiencies and the efficacy in bounding a consistent set of plasma properties from a limited number of macroscopic measurements.

## II. Hall Thruster Efficiency Analysis

A Hall thruster efficiency architecture developed at AFRL analytically separates thruster efficiency into the product of three utilization efficiencies: voltage, current, and propellant utilization efficiency. This method accounts for the effects of the ion velocity distribution function (VDF), ionization fraction, multiply charged ion species, electron recycle fraction, plume divergence, and the loss of acceleration voltage within the discharge chamber. The architecture is formulated such that energy efficiency becomes naturally expressed as a product of voltage and current utilization efficiencies, and is rigorously separated from propellant utilization efficiency. Thus, thrust efficiency is the product of propellant utilization efficiency and energy efficiency. A detailed account of the following efficiency architecture with a comprehensive examination of Hall thruster physics and its manifestation in thruster utilization efficiencies is detailed in the work of Larson, Brown, and Hargus. <sup>1</sup>

Hall thruster efficiency is defined as the conversion of anode electrical energy to directed momentum to produce thrust, and experimentally determined as:

$$\eta_{\text{thrust}} = \frac{\frac{1}{2}\dot{\mathbf{m}} < \mathbf{v} >^2}{P_{\text{in}}} = \frac{1}{2} \left(\frac{\mathbf{T}}{P_{\text{in}}}\right) < \mathbf{v} > \tag{1}$$

In Eq. (1), thrust efficiency may also be written as the product of the thrust-to-power ratio  $(T/P_{in})$  and  $\langle v \rangle$ , where  $\langle v \rangle$  is the propellant jet specific impulse (impulse per unit mass) with dimensions of velocity in SI units. This expression reveals the trade-off between  $T/P_{in}$  and specific impulse for a given thruster efficiency, and explicitly shows that increasing specific impulse to high values at constant efficiency requires diminishing thrust and/or increasing power. Further analysis of the thrust efficiency provides insight into losses in Hall thruster performance.

$$\eta_{\text{thrust}} = \frac{\langle v \rangle^2}{\langle v^2 \rangle} * \frac{\frac{1}{2} \dot{m} \langle v^2 \rangle}{I_a V_a} = \Phi \frac{P_{\text{jet}}}{P_{\text{in}}} = \Phi \eta_{\text{energy}}$$
 (2)

where

$$\Phi = \frac{\langle \mathbf{v} \rangle^2}{\langle \mathbf{v}^2 \rangle} \tag{3}$$

The derivation in Eq. (2) separates thrust efficiency into the product of energy efficiency and the  $\Phi$ -Factor. Energy efficiency is the ratio of jet kinetic energy to anode electrical energy. The  $\Phi$ -Factor defined in Eq. (3) represents propellant utilization: it is unity for 100% ionization to a single ion species where all thrust vectors are directed along the same axis. It is a direct relation between the ion momentum and kinetic energy, which accounts for losses due to incomplete ionization, plume divergence and non-uniformity of the VDF that occurs when the jet is composed of multiple ion species with varying velocities.

Energy efficiency can be further divided into the product of voltage and current utilization. The  $\chi$ -factor is introduced in Eq. (4) to account for the average particle charge of propellant in the plasma, including unionized neutral propellant. It is defined as the product of particle ionization fraction,  $f_i$ , and average ion charge, Q, in Eq. (5) for a plasma with three ion species.

$$\eta_{\text{energy}} = \frac{\frac{1}{2} \dot{m} < v^2 >}{P_{\text{in}}} = \frac{\langle v^2 >}{\langle v^2 >}_{+} \frac{\frac{1}{2} \langle v^2 >}{\frac{F}{M} V_a} * \frac{\dot{m}}{I_a} \frac{F}{M} = \chi (1 - \beta) \frac{(1 - r)}{\chi} = (1 - \beta)(1 - r)$$
(4)

where

$$\chi = f_{i} (f_{1}^{*} + 2f_{2}^{*} + 3f_{3}^{*}) = f_{i} Q$$
(5)

The 30<sup>th</sup> International Electric Propulsion Conference, Florence, Italy September 17-20, 2007 Although the  $\chi$ -factor cancels in the energy efficiency term, it reveals the physical nature of the voltage and current utilization. The voltage utilization,  $(1-\beta)$ , shown in Eq. (6) compares the average potential energy gained by ions with the total potential available from the anode discharge voltage.

$$\frac{\langle \mathbf{v}^{2} \rangle}{\langle \mathbf{v}^{2} \rangle_{+}} \frac{\frac{1}{2} \langle \mathbf{v}^{2} \rangle_{+}}{\frac{\mathbf{\mathcal{J}}}{\mathbf{\mathcal{M}}} V_{a}} = f_{i} \left( f_{1}^{*} \frac{\delta V_{1}}{\delta V_{a}} + 2 f_{2}^{*} \frac{\delta V_{2}}{\delta V_{a}} + 3 f_{3}^{*} \frac{\delta V_{3}}{\delta V_{a}} \right) = f_{i} \frac{\Delta V}{V_{a}} (f_{1}^{*} + 2 f_{2}^{*} + 3 f_{3}^{*}) = f_{i} (1 - \beta) Q$$
 (6)

To simplify the analysis, all ions are assumed to be created at the same location. Thus, the potential available for acceleration is  $\Delta V$  and the voltage utilization efficiency is  $(1-\beta) = \Delta V/V_a$ . This utilization is calculated from the most probable ion voltage with an RPA. Voltage utilization is maximized for complete ionization at the anode face. The approximation used in Eq. (6), in which average neutral to ion specific kinetic energy is equal to  $f_i$  (i.e.,  $< v^2 > / < v^2 >_+ \approx f_i$ ), is accurate to better than 99.999%. This calculation is based on the velocity ratio of singly charged xenon ions to unionized neutral propellant being greater than or equally to 100, which was experimentally determined using electrostatic probes by Hargus, Meezan, and Cappelli for a low power Hall Thruster.

The total ion beam current exiting the thruster is given by  $[\chi \text{ in } (\mathcal{F}/\mathcal{M})]$ . The ratio of ion beam current to the discharge current is the current utilization efficiency (1-r), as defined in Eq. (7). The quantity 'r' is the electron recycle fraction, and represents the electrons emitted from the cathode that are not used for beam neutralization.

$$(1-r) = \frac{\dot{m}}{I_a} \frac{F}{M} \chi = \frac{\dot{m}}{I_a} \frac{F}{M} f_i (f_1^* + 2f_2^* + 3f_3^*)$$
 (7)

Current utilization approaches unity as the number of recycled electrons approaches zero. However, there is a minimum value of 'r' required to sustain ionization processes in the thruster and provide the energy necessary for a given ionization fraction and ion species distribution.

Two experimental parameter groups,  $E_1$  and  $E_2$ , may be written using the preceding utilization efficiencies. These parameters are calculated based solely on thruster telemetry and performance measurements, and provide insight about the relative magnitudes of the individual utilization efficiencies. The product of  $E_1$  and  $E_2$  is equal to thruster efficiency as shown in Eq. (8).

$$\eta_{\text{thrust}} = \Phi(1 - \beta)(1 - r) = \left[\Phi(1 - \beta)\chi\right] \left[\frac{(1 - r)}{\chi}\right] = E_1 E_2$$
(8)

Equations (9) and (10) define how  $E_1$  and  $E_2$  depend on the utilization efficiencies. The quantity  $E_1$  is a function of propellant utilization, voltage utilization, and the  $\chi$ -factor. It is important to note that since both the  $\chi$ -factor and  $\Phi$ -Factor are directly proportional to the ionization fraction, the experimental parameter  $E_1$  is proportional to  $f_i^2$ . Since the quantity  $E_2$  is inversely proportional to  $f_i$ , it is expected that  $E_1$  will be more sensitive to changes in the ionization fraction.

$$E_{1} = \Phi(1 - \beta)\chi = \Phi_{DIV}(1 - \beta)aQf_{i}^{2} = \frac{\frac{1}{2} \left[\frac{\mathbf{T}}{\dot{m}}\right]^{2}}{V_{a}\frac{\mathcal{F}}{\mathcal{M}}}$$
(9)

$$E_2 = \frac{(1-r)}{\chi} = \frac{(1-r)}{f_i Q} = \frac{\dot{m}}{I_a} \frac{\mathcal{F}}{\mathcal{M}}$$
 (10)

In the absence of diagnostics for the determination of plasma properties, these experimental parameter groups allow limits to be placed on acceptable values for the average charge Q, ionization fraction  $f_i$ , and momentum

divergence half-angle,  $\alpha$ . Analysis using  $E_1$  will ignore variations in the quality term 'a' from Eq. (9), since this term is approximately 0.99 for most thrusters and rarely deviates more than 2% from unity. A full explanation is found elsewhere in the literature.<sup>13</sup>

## III. Experimental Apparatus

## A. AFRL Test Facilities

Performance verification tests at AFRL were conducted in Chamber 3, a stainless steel, cylindrical vacuum chamber 3.3 m diameter by 8-m long. The thruster exit plane is located in the center of the chamber diameter, pointing down the long axis approximately 7 m from the far end. All surfaces that are optically visible to the plasma plume are shielded with ½" thick, high purity, sulfur-free carbon plates. A dedicated dry Stokes mechanical pump reaches rough vacuum with a pumping speed of 450 L/s and a Pfeiffer Vacuum turbomolecular pump removes lighter gases. The facility utilizes eight helium-cooled cryopanels, resulting in a maximum xenon pumping speed of 140,000 L/s. The heat load to these cryopanels is moderated by shrouds coated with low emissivity paint, which are cooled by four IGC Polycold Cryogenic Refrigeration units. A conservative measurement of the ultimate base pressure is less than 1 x 10<sup>-7</sup> torr.

An MKS Instruments cold cathode ionization gauge, located on the chamber ceiling above the thruster, registered background pressure. Using a Xenon calibration factor of 2.87, the adjusted pressure for flow rates of 10, 15, and 20 mg/s were 8 x 10<sup>-6</sup>, 1.3 x 10<sup>-5</sup>, 1.5 x 10<sup>-5</sup> Torr. High background pressure artificially raises performance due to ingestion of background neutrals into the discharge chamber. Randolph's criterion asserts that facility effects have negligible influence on performance for background pressures below 2x10<sup>-5</sup> Torr.<sup>2</sup> Since all performance measurements were taken at pressures below this threshold, it was determined that neutral entrainment at these pressure and flow rates had a negligible impact on thruster performance.<sup>3,4</sup> No corrections to performance measurements were made to compensate for facility effects.

The propellant flow system was supplied with research grade Xenon (99.999% purity) via  $\frac{1}{4}$  inch stainless steel tubing. Anode flow was controlled with a 1000 sccm Unit 1661 digital mass flow controller. Cathode flow was regulated with a 50 sccm Unit 7300 digital mass flow controller. All mass flow controllers were calibrated with Xenon prior to testing with a DH Instruments Molbloc/Molbox gas flow calibration system with an estimated uncertainty of  $\pm 0.5\%$  according the manufacture's specifications.

Thruster discharge power was provided by two Electronic Measurement Inc. ESS Power Supplies arranged in parallel, each capable of 600V, 16A output. A simple RLC circuit provided low pass filtering of the main discharge, and the thruster body was connected to chamber ground. Discharge voltage, discharge current, and cathode potential were monitored through high accuracy current shunts with an uncertainty of  $\pm 0.5\%$ . Coils were energized with Sorenson DLM 60-10 power supplies. The cathode heater was powered with an EMS 20-30 supply and the cathode keeper used a Sorenson LHP 300-3.5 power supply. The heater power supply was shut down during thruster operation.

Thrust measurements were taken with a null type, inverted pendulum thrust stand. At steady state conditions, thrust measurements varied by <0.5 mN. A diluted Glycol solution at  $10^{\circ}$ C cooled the copper shroud surrounding the thrust stand to decrease thermal drift. Thrust stand calibrations were performed in several configurations to test the influence of electromagnet coils and cathode operation. Repeated tests showed consistent thruster performance to within 1%, signifying that the calibrations and zero offsets were unaffected by these variables. As the thrust stand and thruster reached thermal equilibrium, the calibration curves showed repeatability with less than 1% variation. The zero offset was recorded after each test point and used with the calibration slope to calculate thrust. In cases where the thrust stand was not calibrated after each operating condition, the zero position was found for each point from a linear interpolation of the zero offset before firing and after shutdown. Inclination was managed with a stepper motor to level the thruster and minimize null coil current during high thrust operation. This minimized thermal drift in the null coil signal after shutdown and yielded a more reliable zero offset. Due to the highly repeatable thrust measurements and minimal thermal drift, uncertainty in thrust is estimated at  $\pm 1\%$ .

A 22-bit Agilent Datalogger transmitted thrust, mass flow, and power to a LabView code monitoring the anode efficiency and thruster performance in real-time. For a given discharge voltage and flow rate, the coil currents were adjusted for maximum anode thruster efficiency. When peak efficiency was achieved, the magnet coils were further tuned for maximum T/P ratio. The efficiency optimization did not always correspond to minimum discharge current, since decreasing thrust would further reduce anode thruster efficiency (Efficiency ~ Thrust² / Current).

#### **B.** Hall Thruster

A 6 kW laboratory model test-bed Hall thruster was used in this investigation. A large thruster was utilized to enable placement of a centrally mounted internal cathode that was directed along the The schematic in Fig. 1 thruster centerline. illustrates the internal cathode configuration in a typical Hall thruster design. The thruster utilizes inner and outer electromagnets to form standard magnetic lens topography.8 Constant anode propellant flow operating conditions at 20 mg/s ranged from 100 - 400 V discharge. Constant power operating conditions at 6 kW ranged from 200 -400 V. Cathode flow fraction was 7% at all operating points.

## C. Plasma Diagnostics

Plume examinations were executed one meter downstream of the thruster exit plane as a function of angular position from centerline. The plasma diagnostic array at AFRL, shown in Fig. 2, includes a retarding potential analyzer (RPA), Langmuir probe, gridded Faraday probe, and nude Faraday probe. A rotational arm with axis of rotation located above the exit plane on thruster centerline is controlled with a Thermionics Stepping Motor Controller. The probe array, as shown in Figure 3, is swept continuously 180 degrees in a constant one meter radius arc for Faraday probe measurements. The continuous rotational motion at low speeds minimizes mechanical arm vibration and prolongs probe life by decreasing time in the plume. RPA and Langmuir probe data collection is taken on thruster centerline one meter downstream of the exit plane, with the Langmuir probe 3 cm off axis.

#### 1. Retarding Potential Analyzer

An RPA measured the ion voltage distribution on the thruster centerline with a series of positively and negatively biased grids. The positive potential of an ion retarding grid acts as a high pass filter, and only permits ions above the grid threshold voltage to reach the collector. The resulting ion voltage distribution is found from the derivative of the current-voltage relationship.<sup>5</sup>

A series of grids, as shown in Figure 4, filters ambient electrons and selected ions before they reach the copper collector. Grids are composed of a 0.005" thick, photochemically etched 316 SS with an open area fraction of approximately 38% and separated by MACOR spacers. A phenolic sleeve inside the stainless steel body electrically isolates

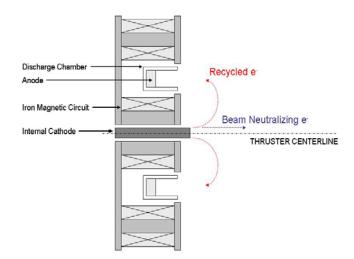


Figure 1. Schematic of a centrally mounted internal cathode configuration in a typical Hall thruster design.



Figure 2. Plasma diagnostic array at AFRL. Probes from left to right include: Langmuir probe, RPA, nude Faraday probe, and gridded Faraday probe.

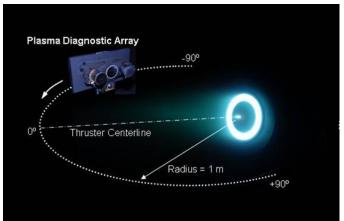


Figure 3. Plasma diagnostic array on the rotational arm at AFRL.

the grids. A ceramic cover with a 1 cm diameter opening reduced the ion flux at high flow rates and avoided current saturation of the collector electronics. The outer grid exposed to the plume is floating to minimize the perturbation between the probe and ambient plasma. Electron repelling grids 2 and 4 are biased to -15 V below thruster ground

by a BK Precision 1760 Triple Output DC power supply. Grid 2 repels electrons from the plume while grid 4 suppresses secondary electrons resulting from ion impacts with the collector. The ion retarding grid is connected to a Keithley 2410 1100 V Sourcemeter and swept from 0 to ~500 V with respect to ground. A picoammeter reads the collection current, which is recorded as a function of voltage applied to the ion repelling grid. Further RPA construction and operation details may found elsewhere. 6,7

## 2. Langmuir Probe

A single, cylindrical Langmuir probe parallel to the centerline plume was used to measure plasma The probe consisted of a 0.2 mm diameter, 15 mm long Tungsten wire connected to a Keithley 2400 Sourcemeter. Langmuir probe data were collected during the RPA sweep and analyzed to determine the plasma potential for RPA voltage distribution calculations. Langmuir probe was positioned 3 cm and approximately 1 degree off the centerline axis. Prior studies concluded the difference in plasma potential varies by only a few volts across large angles.8 Due to the proximity of the Langmuir probe to the RPA, the difference in plasma potential between the Langmuir probe location and the RPA on the thruster centerline was estimated at less than one volt.

A potential diagram outlining the correlation between measured values with the most probable ion voltage and loss voltage is shown in Fig. 5. The average acceleration potential,  $\Delta V_{\rm i}$  is found in Eq. (11) as the difference between the most-probable ion voltage from RPA traces ( $V_{\rm RPA}$ ) and plasma potential ( $V_{\rm Plasma}$ ). The loss voltage ( $V_{\rm Loss}$ ) in Eq. (12) is subsequently calculated from the difference between discharge voltage ( $V_{\rm a}$ ) and average acceleration potential. Voltage utilization

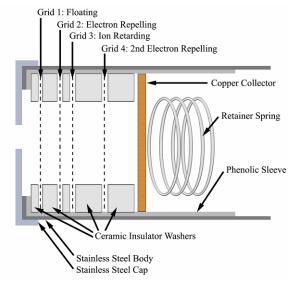


Figure 4. Cross-sectional schematic of the RPA showing ion and electron repelling grids.

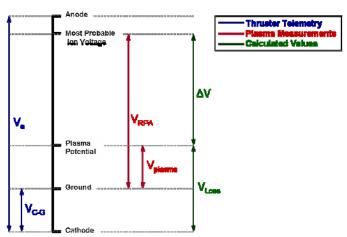


Figure 5. Potential diagram illustrating the relationship between measured voltages ( $V_a$ ,  $V_{C-G}$ ), plume measurements ( $V_{RPA}$ ,  $V_{Plasma}$ ) and calculated potentials ( $\Delta V$ ,  $V_{Loss}$ ).

efficiency can then be determined from the average acceleration voltage as shown in Eq. (13).

$$\Delta V = V_{RPA} - V_{Plasma} = V_a - V_{Loss} \tag{11}$$

$$V_{Loss} = V_a - \Delta V \tag{12}$$

$$(1 - \beta) = \frac{\Delta V}{V_a} = 1 - \frac{V_{Loss}}{V_a}$$
 (13)

## 3. Nude Faraday Probe

The nude Faraday probe measures directed ion flux to a 19 mm collector electrode bordered by a 43 mm diameter annular guard ring. This guard ring is biased to the same negative potential as the collector and forms a uniform, planar sheath in front of the collection area. A simplified circuit diagram is shown in Fig. 6. The bias voltage is set to -15 V with respect to ground by a Sorenson LT 30-3 DC power supply. Collected current is found from the voltage drop across a 40.4 ohm shunt resistor, and corresponding current density is calculated from current divided by the collector electrode area.

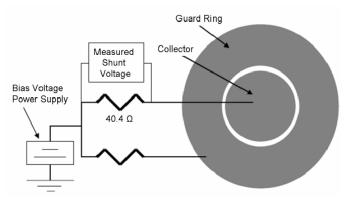


Figure 6. Simplified circuit diagram of the nude Faraday probe.

The 95% charge divergence half-angle ( $\alpha_{95}$ ) was calculated separately for left and right sides of the plume, and determined the location where the signal integrated to 95% of the total collected voltage from centerline to  $\pm 90$  degrees, as shown in Eq. (14).

$$0.95 \int_{0}^{\pm 90} j(\theta) \sin(\theta) d\theta = \int_{0}^{\pm \alpha_{95}} j(\theta) \sin(\theta) d\theta$$
 (14)

Beam current is calculated by integrating current density in the hemisphere about thruster centerline as shown in Eq. (15). The ratio of this calculated ion beam current to the discharge current provides the current utilization efficiency, (1-r).

$$I_{Beam} = 2\pi R^2 \int_{0}^{\pm 90} j(\theta) \sin(\theta) d\theta$$
 (15)

Previous studies have found a strong dependence on facility backpressure, resulting in an artificial increase in current density at half-angles approximately 30 degrees beyond thruster centerline. This dependence attributed to the presence of charge exchange (CEX) ions, which account for a significant fraction of ions on outer edges of the plume. Current collected on the outer wings erroneously high and results overestimation of the total beam current and divergence angles. Fig. 7 illustrates increased current density on the wings for a one meter radial sweep. Results have been normalized to the maximum signal value for comparison between the nude and gridded Faraday probes. A corrected nude Faraday probe current density is found from the linear extrapolation on a log scale for angles greater than 30 degrees off centerline. 13 Although results from the gridded Faraday probe also show evidence of increased current density on the wings, the correction is unnecessary since low energy CEX ions are

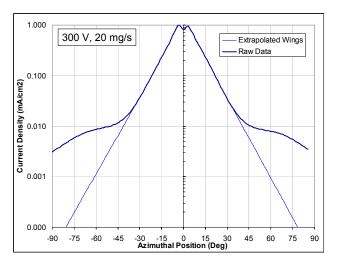


Figure 7. Normalized current density from nude and gridded Faraday probe traces showing increased current collection on the wings due to CEX ions. The dashed line illustrates the linear extrapolation of nude Faraday probe data on a log scale.

repelled by the positively charged grid. Unless otherwise stated, all calculations using current density from the nude Faraday probe use corrected values beyond 30 degrees off thruster centerline. Beam divergence calculations with the gridded Faraday probe data use uncorrected values.

## IV. Experimental Results and Discussion

Thruster efficiency and the various utilizations are analyzed along a line of constant power (6 kW) and anode flow rate (20 mg/s) to study the losses that dominate each mode of operation. Propellant utilization can be calculated using the experimentally determined thruster efficiency along with voltage utilization from the RPA and current utilization from the Faraday probe. The primary drawback to this approach is the error associated with integrating ion current density in the plume to calculate the current utilization. An artificially large beam current would decrease propellant utilization.

The anode thruster efficiency, energy efficiency, and three utilization efficiencies are shown in Fig. 8. Propellant utilization is the driving loss mechanism for both the constant flow and constant flow rate conditions. A low propellant utilization can be attributed to low ionization fraction and/or a large momentum divergence angle.

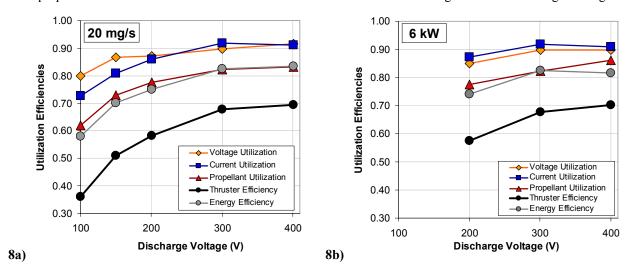


Figure 8. Thruster efficiencies for constant anode flow rate of 20 mg/s (5a) and constant power of 6 kW (5b) with increasing discharge voltage.

In both plots, the voltage and current utilization are nearly equal above 200 V discharge. At discharge voltages below 200 V, the potential required for ionization becomes a significant fraction of the anode acceleration potential. This loss of available acceleration potential is seen in the reduced voltage utilization in the 20 mg/s case for 100 V and 150 V.

An inspection of the relationship between thruster efficiency, energy efficiency, and propellant utilization in Fig. 9 reveals similar characteristics between the lines of constant power and flow rate. For discharge voltages >300 V, the propellant utilization and energy efficiency are nearly equivalent. However, at 400 V discharge, the line of constant anode flow continues the previous trend while the line of constant power deviates to lower energy efficiency with higher propellant utilization. A possible mechanism of this phenomenon will be revisited. Since discharge voltage appears to be the

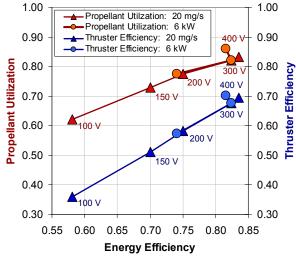


Figure 9. Relationships between propellant utilization, thruster efficiency, and energy efficiency for a line of constant anode flow (20 mg/s) and constant power (6 kW).

primary efficiency driver, further analysis will focus on variations with applied anode potential.

The average particle charge can be evaluated using the quantity  $(1\text{-r})/E_2 = \chi = f_i Q$ , with profiles of constant ionization fraction. Although ionization fraction will most likely vary over the wide range of discharge voltages studied, the traces in Fig. 10 bound the likely range of average charge. In the 20 mg/s case shown in Fig. 6a, the average ion charge increases exponentially up to 200 V discharge and plateaus at  $Q\approx1.3$  for the case of 95% ionization. While it is possible average charge varies for discharge voltages >200 V, the ionization fraction would vary by the same amount since the  $\chi$ -Factor is constant. The lower limit on Q is given by the line of 100% ionization, where  $f_i$ =1 and  $\chi$ =Q. Thus, for discharge voltages >200 V, the average charge must be  $\geq$ 1.23, which implies a significant fraction of multiply charged ions in the thruster discharge. For constant power operation from 200 – 400 V in Fig. 10b, the average charge decreases with increasing voltage. Similar arguments for the minimum allowable Q hold, where the average charge must be greater than or equal to the line of constant  $f_i$ =1.

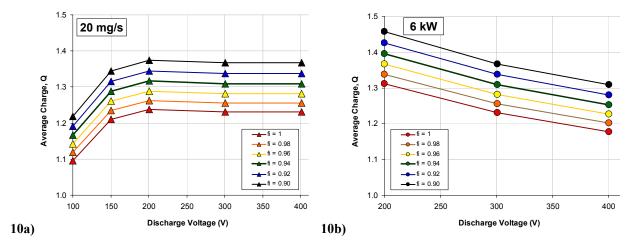


Figure 10. Average ion charge for constant anode flow rate of 20 mg/s (10a) and constant power of 6 kW (10b) with increasing discharge voltage.

The maximum momentum divergence half-angle can be examined using the values of the  $E_1$ ,  $(1-\beta)$ , and  $\chi$  to create similar profiles for lines of constant ionization fraction, as shown in Eq. (16) and (17). For an isotropic distribution of divergences, the divergence component of the propellant utilization is the factor by which thrust of an ideal 1-dimensional rocket jet is reduced to its 2-dimensional divergence.

$$\frac{E_1}{a\chi(1-\beta)} = \Phi_{DIV} f_i \tag{16}$$

$$\Phi_{\rm DIV} = \sqrt{\frac{1 + \cos \alpha}{2}} \tag{17}$$

Profiles of maximum momentum divergence half-angle are shown in Fig. 11. Calculated divergence of the 20 mg/s conditions shown in Fig. 11a display an exponential decrease with increasing discharge voltage, and exposes beam divergence as a leading loss mechanism at low discharge voltage. In this case, the line of fi=1 places an upper limit to the momentum divergence half-angle of  $\sim$ 35° at 300 V. In Fig. 11b, lines of constant power show a linear relationship with discharge voltage, where the maximum momentum divergence angle decreases by 10° from 200 – 400 V for constant 6 kW operation.

A comparison between the charge divergence angle and maximum momentum divergence angle is displayed in Fig. 12. The 90% and 95% beam divergence angles calculated from Faraday probe sweeps of current density in the far-field plume are higher than the momentum divergence angle for all discharge voltages, with the lone exception of 300 V, 20 mg/s. The most likely cause of this discrepancy is the presence of low energy CEX ions on the thruster wings contributing a significant fraction to the integrated charge divergence. It is expected that these low energy ions have little effect on the thrust, and may supply an artificial increase to the calculated beam current and charge

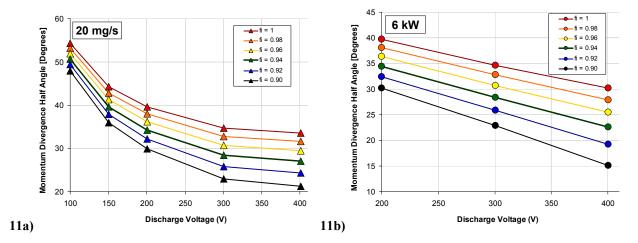


Figure 11. Momentum divergence half-angle for constant anode flow rate of 20 mg/s (11a) and constant power of 6 kW (11b) with increasing discharge voltage.

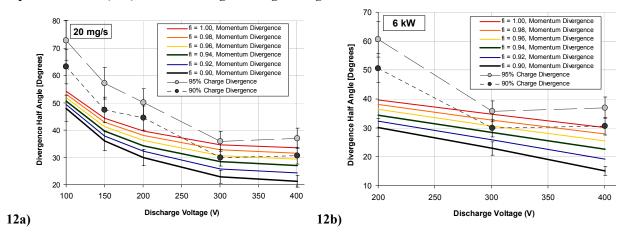


Figure 12. Comparison of maximum momentum divergence half-angle with charge divergence half-angle for lines of constant anode flow rate (20 mg/s) and constant power (6 kW) with variations in discharge voltage (12a) and discharge current (12b).

divergence angles. In this case, the overestimate in beam current would lower propellant utilization and raise the  $\chi$ -Factor, which in turn would cause a reduction in the momentum divergence calculation and an increase in the average charge.

Looking at the limits of specific terms also aids in further bracketing the plasma parameters. For the point at 400 V and 6 kW, the  $\chi$ -Factor is 0.18 and the quantity  $\Phi_{Div}fi=0.88$ . Using these values and the limits of each term (Q $\geq$ 1, 1  $\geq$  fi, 1  $\geq$   $\Phi_{Div}$ ), a table of values can be created that displays probable plasma properties.

Table 1: Parameter Sets of Plasma Properties for 400 V discharge.

400 V,	400 V, 6 kW										
fi	Q	Фdiv	α								
0.88	1.34	1.00	0.00								
0.90	1.31	0.98	12.14								
0.92	1.28	0.96	17.05								
0.94	1.26	0.94	20.75								
0.96	1.23	0.92	23.81								
0.98	1.20	0.90	26.46								
1.00	1.18	0.88	28.82								

400  V, 20	) mg/s		
fi	Q	Фdiv	α
0.88	1.40	0.95	17.44
0.90	1.37	0.93	21.22
0.92	1.34	0.91	24.35
0.94	1.31	0.89	27.05
0.96	1.28	0.88	29.44
0.98	1.26	0.86	31.61
1.00	1.23	0.84	33.59

Highlighted cells show the most probable sets of consistent plasma properties and provide further insight with a minimal number of diagnostics. An analogous table can be created to compare the change created by increasing current from the previous example of 400 V, 6 kW to the 400 V, 20 mg/s conditions. Analyzing the plausible plasma properties, it appears the average charge Q increases with discharge current. In addition, depending on the increase in Q, the momentum divergence angle may also have increased.

## V. Summary and Future Work

A systematic study of Hall thruster performance and far-field plume measurements from 100 to 400 V discharge demonstrated the extraction of plasma properties with an analytical efficiency architecture. This method accounts for the effects of the ion velocity distribution function (VDF), electron recycle fraction, plume divergence, and the fractional loss of acceleration voltage within the discharge chamber. Propellant utilization was the driving loss mechanism for both the constant flow and constant flow rate conditions. A low propellant utilization can be attributed to low ionization fraction and/or a large momentum divergence angle. Physical limits of plasma properties enabled limits to be set on consistent sets of performance parameters, including the average ion charge, ionization fraction, and momentum divergence half-angle. Including ExB measurements or LIF measurements with this technique would close the set of equations and enable the calculation of a full set of discharge parameters, including the aforementioned properties. Internal and near-field plasma potential and density measurements will be conducted to further understand the local mechanisms affecting Hall thruster operation.

## **Appendix**

Table 1: Thruster telemetry data for lines of constant mass flow (20 mg/s) and power (6 kW).

Test	Test V <sub>D</sub> (V)		D (A)	Mass Flow (		Cathode	Background	Thrust
Point	VD (V)	I <sub>D</sub> (A)	<b>P</b> <sub>D</sub> (A)	Anode	Cathode	Potential (V)	Pressure (Torr)	(mN)
8	100	22	2245	20.2	1.4	-7.4	1.4E-05	181
9	150	22	3340	20.2	1.4	-9.1	1.4E-05	263
10	201	21	4289	20.2	1.4	-10.2	1.3E-05	318
11	301	20	5997	20.2	1.4	-11.6	1.2E-05	405
13	401	20	8040	20.2	1.4	-10.7	1.2E-05	475
19	400	15	6044	15.8	1.1	-13.3	9.2E-06	366
20	200	30	6031	27.3	1.9	-10.3	1.9E-05	435
21	600	10	6029	10.6	0.7	-18.1	7.1E-06	304

Table 2: Experimental Parameter Groups, Utilization Efficiencies, and Plasma Parameters (for f<sub>i</sub>=1).

Test	Experi	mental	(1.0)	(1)		Thrust			For	$f_i = 1$	
Point	E <sub>1</sub>	E <sub>2</sub>	(1-β)	(1-r) \ \Phi	•	Φ Efficiency	χ	Q <sub>min</sub>	$\Phi_{\mathrm{VDF}}$	$\Phi_{ m div}$	a (Deg)
8	0.54	0.66	0.80	0.73	0.62	0.36	1.10	1.10	0.99	0.63	54
9	0.76	0.67	0.87	0.81	0.73	0.51	1.21	1.21	0.99	0.74	44
10	0.84	0.70	0.87	0.86	0.78	0.58	1.24	1.24	0.99	0.78	40
11	0.91	0.75	0.90	0.92	0.82	0.68	1.23	1.23	0.99	0.83	35
13	0.94	0.74	0.92	0.91	0.83	0.69	1.23	1.23	0.99	0.84	34
19	0.91	0.77	0.90	0.91	0.86	0.70	1.18	1.18	0.99	0.87	30
20	0.86	0.66	0.85	0.87	0.77	0.57	1.31	1.31	0.99	0.78	40
21	0.93	0.78	-	-	-	-	-	_	-	-	-

Table 3: Far-field Plasma Plume Measurements at 1-meter on thruster centerline.

Test	Nude Fara	lay 95% Half-Angle	Nude Farac	lay 90% Half-Angle	Integrated Beam	Plasma	Most Probable	
Point	Avg. (deg)	± Deviation (deg)	Avg. (deg)	± Deviation (deg)	Current (A)	Potential (V)	Ion Voltage (V)	
8	72.9	1.1	63.2	1.1	16.3	8	88	
9	57.1	0.9	47.4	0.8	18	12	142	
10	50.1	1.0	44.4	2.2	18.4	13	188	
11	35.8	3.5	29.9	2.6	18.3	14	284	
13	37.0	1.4	30.7	1.7	18.3	15	382	
19	37.0	1.4	30.7	1.7	13.7	17	376	
20	60.6	0.5	50.6	0.4	26.3	12	182	
21	40.7	2.8	33.5	2.4	10.1	=	-	

## Acknowledgments

The authors would like to thank Ron Watkins and Rich Hofer of JPL for their prompt assistance in cathode repair. The maintenance on Chamber #3 at AFRL by Bob Gregory and David Skipworth during testing is gratefully acknowledged.

#### References

Larson, C. W., Brown, D. L., Hargus, W. A., "Thrust Efficiency, Energy Efficiency, and the Role of VDF in Hall Thruster Performance Analysis," Proceedings of the 43rd AIAA/ASME/SAE/ASEE Joint Propulsion Conference, AIAA-2007-5270, Cincinnati, OH, July 8-11, 2007.

5 Hutchinson, I. H., Principles of Plasma Diagnostics, 2<sup>nd</sup> Edition, Cambridge Univ. Press, New York, 1990.

- 6 Beal, B. E., "Clustering of Hall Effect Thrusters for High-Power Electric Propulsion Applications," Doctoral Thesis, Department of Aerospace Engineering, Univ. of Michigan, Ann Arbor, MI, 2004.
- Hofer, R. R., Haas, J. M., Gallimore, A. D., "Ion Voltage Diagnostics in the Far-Field Plume of a High-Specific Impulse Hall Thruster," *Proceedings of the 39th AIAA/ASME/ASEE Joint Propulsion Conference*, AIAA 2003-4556, Huntsville, AL, July 20-23, 2003.
- 8 Gulszinski, F. S., "Examination of the Structure and Evolution of Ion Energy Properties of a 5 kW Class Laboratory Hall Effect Thruster at Various Operational Conditions," Doctoral Thesis, Department of Aerospace Engineering, Univ. of Michigan, Ann Arbor, MI, 1999.
- 9 Walker, M. L. R., Hofer, R. R., Gallimore, A. D., "Ion Collection in Hall Thruster Plumes," *Journal of Propulsion and Power*, Vol. 22, No. 1, 2006, pp. 205-209.
- 10 Manzella, D. H., Sankovic, J. M., "Hall Thruster Ion Beam Characterization," NASA TM-107034, Aug. 1995.
- Boyd, I. D., "Review of Hall Thruster Plume Modeling," *Journal of Spacecraft and Rockets*, Vol. 38, No. 3, 2001, pp. 381-387.
- 12 Hofer, R. R., Walker, M. L. R., Gallimore, A. D., "A Comparison of Nude and Collimated Faraday Probes for Use with Hall Thrusters," IEPC-01-020, 27th International Electric Propulsion Conference, Pasadena, CA, Oct 14-19, 2001.
- 13 McVey, J. B., Britt, E. J., Engelman, S. F., Gulczinski, F. S., Beiting, E. D., Pollard, J. E., "Characteristics of the T-220HT Hall-Effect Thruster," Proceedings of the 39<sup>th</sup> AIAA/ASME/ASEE Joint Propulsion Conference, AIAA 2003-5158, Huntsville, AL, July 20-23, 2003.

<sup>2</sup> Randolph, T. Kim, V., Kaufman, H., Kozubsky, K., Zhurin, V., Day, M. "Facility Effects on Stationary Plasma Thruster Testing," 23rd International Electric Propulsion Conference, IEPC-93-93, Seattle, WA, Sept 13-16, 1993.

<sup>3</sup> Hofer, R. R., Peterson, P. Y., Gallimore, A. D., "Characterizing Vacuum Facility Backpressure Effects on the Performance of a Hall Thruster," 27th International Electric Propulsion Conference, IEPC-01-045, Pasadena, CA, Oct 14-19, 2001.

Walker, M. L. R., "Effects of Facility Backpressure on the Performance and Plume of a Hall Thruster," Ph.D. Thesis, Department of Aerospace Engineering, Univ. of Michigan, Ann Arbor, MI, 2005.